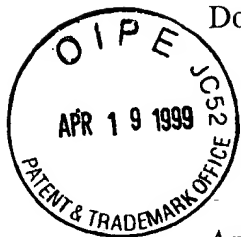


#3



Docket No. 0805774-0004

Patent Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark Alistair Poletti

Examiner: Not Yet Assigned

Application No.: 09/197,096

Group Art Unit: 2817

Filed: November 20, 1998

For: AN IMPROVED GUITAR  
PREAMPLIFIER SYSTEM WITH  
CONTROLLABLE DISTORTION

Date: April 14, 1999

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts dated December 14, 1998, enclosed herewith is an executed Declaration and Power Of Attorney for the subject application, together with a Petition For Extension Of Time to extend the time for response to the Notice to April 14, 1999. Also enclosed is a check in the amount of \$890.00 for the filing fee. Please also charge any additional necessary fees to Deposit Account No. 03-1721.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

April 14, 1999

(Date of Deposit)

Paul A. Pysher, Reg. No. 40,780

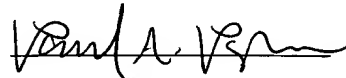
Name of Attorney for Applicant

Paul A. Pysher  
Signature

April 14, 1999  
Date of Signature

Applicant's undersigned attorney can be reached in our Boston office at (617) 248-5071. All correspondence should continue to be directed to the address listed below.

Respectfully submitted,



Paul A. Pysher  
Registration No. 40,780

Choate, Hall & Stewart  
Exchange Place  
53 State Street  
Boston, MA 02109  
617-248-5000

DS1.476030.1





UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/197,096 11/20/98 POLETTI M 0805774-000

0262/1214

CHOATE HALL & STEWART  
EXCHANGE PLACE  
53 STATE STREET  
BOSTON MA 02109-2891

NOT ASSIGNED

2817

DATE MAILED:

12/14/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 890.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules" 37 CFR 1.821-1.825.

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

FORM PTO-1533 (REV. 9-97)

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

04/21/1999 CVORACHR 00000016 09197096  
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130.00  
101 FC:101  
02 FC:105